

ATTORNEY DOCKET NO.
11321-P077WOUS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of: Richard E. Smalley, Deceased, et al.
 Serial No: 10/575,352
 International App'l No: PCT/US2004/34002
 Int'l Filing Date: October 14, 2005
 Group Art Unit: Unknown
 Confirmation No: 9439
 Title: Amplification of Carbon Nanotubes Via Seeded-Growth Methods

Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office, issued on October 20, 2006, enclosed are the following documents:

1. Declarations signed by all the inventors;
- 2.. A copy of the Notification of Missing Requirements;
3. Petition for Extension of Time (4-month);
4. Postal Receipt Card.

Applicants hereby authorize the Commissioner for Patents to charge the required fees (late oath, claims, search fee, and Petition fee) to the Winstead Sechrest & Minick P.C.

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PATENT
Serial No. 10/575,352

Deposit Account No. 23-2426. Any questions regarding this filing should be directed to the attorney below.

Dated:

Respectfully submitted,

4/18/07


Robert C. Shadox
Regis. No. 34,011
WINSTEAD PC
P.O. Box 50784
Dallas, TX 75201
Tel (713) 650-2764
Fax (214) 745-5390

ATTORNEY FOR APPLICANTS

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that the attached *Response to Notice Notification of Missing Requirements* is being deposited with the USPS, with sufficient postage, as "Express Mail – Post Office to Addressee" service, mailing label number EL812636992US, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below:

4/19/07
Date

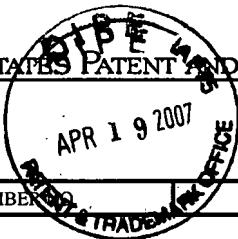
J. Z. Dees
Signature

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UNITED STATES PATENT AND TRADEMARK OFFICE



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U.S. APPLICATION NUMBER

10/575,352

FIRST NAMED APPLICANT

RICHARD E. Smalley

ATTY. DOCKET NO.

11321P077WOUS

INTERNATIONAL APPLICATION NO.

PCT/US04/34002

I.A. FILING DATE

10/14/2004

PRIORITY DATE

10/14/2003

47744

ROSS SPENCER GARSSON
 WINSTEAD SECHREST & MINICK P.C.
 P. O. BOX 50784
 DALLAS, TX 75201

RECEIVED ON

OCT 25 2006

BY WINSTEAD

CONFIRMATION NO. 9439

371 FORMALITIES LETTER



OC000000020906923

Date Mailed: 10/20/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/11/2006
- Preliminary Amendments filed on 04/11/2006
- Small Entity Statement filed on 04/11/2006
- Request for Immediate Examination filed on 04/11/2006
- U.S. Basic National Fees filed on 04/11/2006
- Priority Documents filed on 04/11/2006
- Specification filed on 04/11/2006
- Claims filed on 04/11/2006
- Abstracts filed on 04/11/2006
- Drawings filed on 04/11/2006

04/25/2007 MKAYPAGH 00000104 232426 10575352

01 FC:2617 65.00 DA
 02 FC:2614 100.00 DA

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$100 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

OCT 30 2006

By: RMT

or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$215** for a Small Entity:

- **\$65 Surcharge.**
- The application search fee is insufficient. Applicant must submit \$50 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is **\$ 100**
 - **\$100 for 5 independent claims over 3.**

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/575,352	PCT/US04/34002	11321P077WOUS

FORM PCT/DO/EO/905 (371 Formalities Notice)